

Annexure 1: Uniform File format for new order entry, modification and cancellation

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory for IPO	Remarks
1	Symbol	Char 10	Mandatory	Symbol of the Company/IPO
2	Application No.	AlphaNumeric 16	Mandatory	Application number of the Form
3	Category / Sub-Category	AlphaNumeric 5	Mandatory	Client sub-category master values would remain constant over all the scrips i.e.FI,FII,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
4	Client Name	Char 50	Non-Mandatory	Client name as on the form
5	Depository Name	Char 5	Mandatory	Depository i.e. NSDL or CDSL
6	DP ID	AlphaNumeric 8	Mandatory	DP_ID (In case of CDSL put 0)
7	Client ID / Beneficiary ID	Numeric 16	Mandatory	If CDSL then Min(16) and Max(16) . If NSDL Min(8) and Max(8)
8	Bid Quantity	Numeric 15	Mandatory	Quantity in multiple of market lot
9	Cut off Indicator	Char 1	Mandatory	Cutoff 1 - if opting for cut off 0 - if not opting for cut off
10	Rate / Price	Numeric 6.2	Mandatory	PRICE, in case of cut off put MKT or max price
11	UPI Flag	Char 1	Mandatory	Y if Opting for UPI; (for IND/SHA/EMP/POL category of investor with bid value upto Rs 5 Lacs) N -if not Opting for UPI
12	Amount	Numeric 12	Mandatory	Amount to be blocked
13	Cheque Number	AlphaNumeric 20	Mandatory	For Equity IPO- Sub-broker Code Alphanumeric 20 Character. CHQ_NO Blank in case of ASBA application
14	PAN No.	AlphaNumeric 10	Mandatory	Pan No of the Client
15	Bank Code	AlphaNumeric 6	Mandatory	In case of ASBA bid put valid Bank code . In case of UPI bid put blank
16	Location Code	AlphaNumeric 6	Mandatory	In case of ASBA bid put valid location code. In case of UPI bid put blank
17	Reference Number*	AlphaNumeric 60	Mandatory	Prefix T for 3-in-1 Account For UPI Bid Non-Mandatory
18	Order Number	Numeric 16		BID ID Put 0 or blank for action code N (will be replaced by order number in out file)
19	Activity Type	Char 1	Mandatory	Activity Type, i.e., “N” for new record, “M” for to be modified record and “D” for to delete records.
20	UPI ID	Alphanumeric a-z, A-Z, 0-9. With special character . (dot) - (hyphen) @ (sign of at the rate)	Non-Mandatory	Mandatory if the UPI Flag is Y opted. Enter exact UPI id Put blank in case of Non UPI Bid

* The following special characters ~, ! % & shall not be part of **Reference Number**

File format for output file in case of success

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory for IPO	Remarks
1	Symbol	Char 10	Mandatory	Symbol of the Company/IPO
2	Application No.	AlphaNumeric 16	Mandatory	Application number of the Form
3	Category / Sub-Category	AlphaNumeric 5	Mandatory	Client sub-category master values would remain constant over all the scrips i.e.FI,FIL,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
4	Client Name	Char 50	Non-Mandatory	Client name as on the form
5	Depository Name	Char 5	Mandatory	Depository i.e. NSDL or CDSL
6	DP ID	AlphaNumeric 8	Mandatory	DP_ID (<i>In case of CDSL put 0</i>)
7	Client ID / Beneficiary ID	Numeric 16	Mandatory	If CDSL then Min(16) and Max(16) . If NSDL Min(8) and Max(8)
8	Bid Quantity	Numeric 15	Mandatory	Quantity in multiple of market lot
9	Cut off Indicator	Char 1	Mandatory	Cutoff 1 - if opting for cut off 0- if not opting for cut off
10	Rate / Price	Numeric 6.2	Mandatory	PRICE, in case of cut off put MKT
11	UPI Falg	Char 1	Mandatory	Y if Opting for UPI; (for IND/SHA/EMP/POL category of investor with bid value upto Rs 5 Lacs) N-if not Opting for UPI
12	Amount	Numeric 12	Mandatory	Amount to be blocked
13	Cheque Number	AlphaNumeric 20	Mandatory	For Equity IPO- Sub-broker Code Alphanumeric 20 Character. CHQ_NO Blank in case of ASBA application
14	PAN No.	AlphaNumeric 10	Mandatory	Pan No of the Client
15	Bank Code	AlphaNumeric 6	Mandatory	In case of ASBA bid put valid Bank code . In case of UPI bid put blank
16	Location Code	AlphaNumeric 6	Mandatory	In case of ASBA bid put valid location code. In case of UPI bid put blank
17	Reference Number*	AlphaNumeric 60	Mandatory	Prefix T for 3-in-1 Account For UPI Bid Non-Mandatory
18	Order Number	Numeric 16	Mandatory	Bid Id is auto generated by the exchange
19	Activity Type	Char 1	Mandatory	Activity Type, i.e., “N” for new record, “M” for to be modified record and “D” for to delete records.
20	UPI ID	Alphanumeric a-z, A-Z, 0-9. With special character . (dot) - (hyphen) @ (sign of at the rate)	Non-Mandatory	Mandatory if the UPI Flag is Y opted. Enter exact UPI id Put blank in case of Non UPI Bid
21	User	AlphaNumeric Char 30	Mandatory	Will be the login id

* The following special characters ~, ! % & shall not be part of Reference Number

File format for output file in case of error

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory for IPO	Remarks
1	Symbol	Char 10	Mandatory	Symbol of the Company/IPO
2	Application No.	AlphaNumeric 16	Mandatory	Application number of the Form
3	Category / Sub-Category	AlphaNumeric 5	Mandatory	Client sub-category master values would remain constant over all the scrips i.e.FI,FIL,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
4	Client Name	Char 50	Non-Mandatory	Client name as on the form
5	Depository Name	Char 5	Mandatory	Depository i.e. NSDL or CDSL
6	DP ID	AlphaNumeric 8	Mandatory	DP_ID (In case of CDSL put 0)
7	Client ID / Beneficiary ID	Numeric 16	Mandatory	If CDSL then Min(16) and Max(16) . If NSDL Min(8) and Max(8)
8	Bid Quantity	Numeric 15	Mandatory	Quantity in multiple of market lot
9	Cut off Indicator	Char 1	Mandatory	Cutoff 1 - if opting for cut off 0- if not opting for cut off
10	Rate / Price	Numeric 6.2	Mandatory	PRICE, in case of cut off put MKT
11	UPI _Flag	Char 1	Mandatory	Y if Opting for UPI; (for IND/SHA/EMP/POL category of investor with bid value upto Rs 5 Lacs) N-if not Opting for UPI
12	Amount	Numeric 12	Mandatory	Amount to be blocked
13	Cheque Number	AlphaNumeric 20	Mandatory	For Equity IPO- Sub-broker Code Alphanumeric 20 Character. CHQ_NO Blank in case of ASBA application
14	PAN No.	AlphaNumeric 10	Mandatory	Pan No of the Client
15	Bank Code	AlphaNumeric 6	Mandatory	In case of ASBA bid put valid bank code. In case of UPI bid put blank
16	Location Code	AlphaNumeric 6	Mandatory	In case of ASBA bid put valid location code. In case of UPI bid put blank
17	Reference Number*	AlphaNumeric 60	Mandatory	Prefix T for 3-in-1 Account For UPI Bid Non-Mandatory
18	Order Number	Numeric 16		Bid Id is auto generated by the exchange
19	Activity Type	Char 1	Mandatory	Activity Type, i.e., “N” for new record, “M” for to be modified record and “D” for to delete records.
20	UPI ID	Alphanumeric a-z, A-Z, 0-9. With special character . (dot) - (hyphen) @ (sign of at the rate)	Non-Mandatory	Mandatory if the UPI Flag is Y opted. Enter exact UPI id Put blank in case of Non UPI Bid
21	User	AlphaNumeric Char 30	Mandatory	Will be the login id
22	Error message	AlphaNumeric Char 100	Non-Mandatory	

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